

01-16-01

PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

01/11/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	EVC00-003
First Inventor	Ian Andrew Bell
Title	Portable Message Waiting Indicator
Express Mail Label No.	EL 592 284 477 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
  - Applicant claims small entity status.  
See 37 CFR 1.27.
  - Specification [Total Pages *(preferred arrangement set forth below)*
    - Descriptive title of the Invention
    - Cross References to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  - Drawing(s) (35 U.S.C. 113) [Total Sheets   - Oath or Declaration [Total Pages   - Newly executed (original or copy)
  - Copy from a prior application (37 C.F.R. § 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
    - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76.

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Copy (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS


- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b) Statement  Power of Attorney  
*(when there is an assignee) (Combined with Declaration)*
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- Certified copy of Priority Document(s) (if foreign priority is claimed)
- Other: .....Check for \$ 1,340.00.....  
Other: .....Request for Non-Publication (Form PTO/SB/35)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

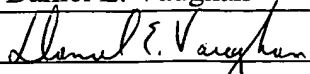
## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  or  Correspondence address below

Name: Park & Vaughan LLP (Insert Customer No. or Attach Bar Code Label here)

Address: 22200 PATENT TRADEMARK OFFICE

City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199
Signature		Date	January 11, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

01/11/01

01/11/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="margin: 5px 0 0 0;"><i>Patent fees are subject to annual revision.</i></p>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	January 11, 2001
		First Named Inventor	Ian Andrew Bell
		Examiner Name	
TOTAL AMOUNT OF PAYMENT		(\$)	1,340
		Attorney Docket No.	EVC00-003

<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number: <input type="text" value="50-1003"/>          Deposit Account Name: <input type="text" value="Park &amp; Vaughan"/></p> <p><input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> <b>Payment Enclosed:</b>  <input checked="" type="checkbox"/> Check   <input type="checkbox"/> Credit card   <input type="checkbox"/> Money   <input type="checkbox"/> Other Order</p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code (\$)</th> <th>Small Entity Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201 355 Utility filing fee</td> <td style="text-align: center;">710</td> </tr> <tr> <td>106</td> <td>320</td> <td>206 160 Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>490</td> <td>207 245 Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>710</td> <td>208 355 Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214 75 Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (1)</b></td> <td style="text-align: center;"><b>(\$)</b> 710</td> </tr> </tbody> </table> <p><b>2. EXTRA CLAIM FEES</b></p> <table style="width:100%; border-collapse: collapse;"> <tr> <td>Total Claims</td> <td><input type="text" value="35"/></td> <td>-20**=</td> <td><input type="text" value="15"/></td> <td>X</td> <td><input type="text" value="18"/></td> <td>=</td> <td><input type="text" value="270"/></td> </tr> <tr> <td>Independent Claims</td> <td><input type="text" value="7"/></td> <td>-3**=</td> <td><input type="text" value="4"/></td> <td>X</td> <td><input type="text" value="80"/></td> <td>=</td> <td><input type="text" value="320"/></td> </tr> <tr> <td>Multiple Dependent</td> <td><input type="text"/></td> <td></td> <td></td> <td></td> <td></td> <td>=</td> <td><input type="text"/></td> </tr> </table> <p><i>**or number previously paid, if greater; For Reissues, see below</i></p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code (\$)</th> <th>Small Entity Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203 9 Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>80</td> <td>202 40 Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>270</td> <td>204 135 Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>109</td> <td>80</td> <td>209 40 ** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210 9 ** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td style="text-align: center;"><b>(\$)</b> 590</td> </tr> </tbody> </table>	Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid	101	710	201 355 Utility filing fee	710	106	320	206 160 Design filing fee		107	490	207 245 Plant filing fee		108	710	208 355 Reissue filing fee		114	150	214 75 Provisional filing fee		<b>SUBTOTAL (1)</b>			<b>(\$)</b> 710	Total Claims	<input type="text" value="35"/>	-20**=	<input type="text" value="15"/>	X	<input type="text" value="18"/>	=	<input type="text" value="270"/>	Independent Claims	<input type="text" value="7"/>	-3**=	<input type="text" value="4"/>	X	<input type="text" value="80"/>	=	<input type="text" value="320"/>	Multiple Dependent	<input type="text"/>					=	<input type="text"/>	Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid	103	18	203 9 Claims in excess of 20		102	80	202 40 Independent claims in excess of 3		104	270	204 135 Multiple dependent claim, if not paid		109	80	209 40 ** Reissue independent claims over original patent		110	18	210 9 ** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>			<b>(\$)</b> 590	<p><b>3. ADDITIONAL FEES</b></p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code (\$)</th> <th>Small Entity Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2520</td> <td>147 2520 For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112 920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1840*</td> <td>113 1840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216 195 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217 445 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1390</td> <td>218 695 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1890</td> <td>228 945 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219 155 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220 155 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221 135 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1510</td> <td>138 1510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1240</td> <td>241 620 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1240</td> <td>242 620 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>440</td> <td>243 220 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>600</td> <td>244 300 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50 Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126 180 Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581 40 Recording each patent assignment per property (times number of properties)</td> <td style="text-align: center;">40</td> </tr> <tr> <td>146</td> <td>710</td> <td>246 355 Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>710</td> <td>249 355 For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>710</td> <td>279 355 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169 900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td style="text-align: center;"><b>(\$)</b> 40</td> </tr> </tbody> </table> <p>* Reduced by Basic Filing Fee Paid</p>	Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath		127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2520	147 2520 For filing a request for <i>ex parte</i> reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1840*	113 1840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	390	216 195 Extension for reply within second month		117	890	217 445 Extension for reply within third month		118	1390	218 695 Extension for reply within fourth month		128	1890	228 945 Extension for reply within fifth month		119	310	219 155 Notice of Appeal		120	310	220 155 Filing a brief in support of an appeal		121	270	221 135 Request for oral hearing		138	1510	138 1510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1240	241 620 Petition to revive - unintentional		142	1240	242 620 Utility issue fee (or reissue)		143	440	243 220 Design issue fee		144	600	244 300 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	180	126 180 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)	40	146	710	246 355 Filing a submission after final rejection (37 CFR 1.129(a))		149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))		179	710	279 355 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				<b>SUBTOTAL (3)</b>			<b>(\$)</b> 40
Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																																										
101	710	201 355 Utility filing fee	710																																																																																																																																																																																																										
106	320	206 160 Design filing fee																																																																																																																																																																																																											
107	490	207 245 Plant filing fee																																																																																																																																																																																																											
108	710	208 355 Reissue filing fee																																																																																																																																																																																																											
114	150	214 75 Provisional filing fee																																																																																																																																																																																																											
<b>SUBTOTAL (1)</b>			<b>(\$)</b> 710																																																																																																																																																																																																										
Total Claims	<input type="text" value="35"/>	-20**=	<input type="text" value="15"/>	X	<input type="text" value="18"/>	=	<input type="text" value="270"/>																																																																																																																																																																																																						
Independent Claims	<input type="text" value="7"/>	-3**=	<input type="text" value="4"/>	X	<input type="text" value="80"/>	=	<input type="text" value="320"/>																																																																																																																																																																																																						
Multiple Dependent	<input type="text"/>					=	<input type="text"/>																																																																																																																																																																																																						
Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																																										
103	18	203 9 Claims in excess of 20																																																																																																																																																																																																											
102	80	202 40 Independent claims in excess of 3																																																																																																																																																																																																											
104	270	204 135 Multiple dependent claim, if not paid																																																																																																																																																																																																											
109	80	209 40 ** Reissue independent claims over original patent																																																																																																																																																																																																											
110	18	210 9 ** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																											
<b>SUBTOTAL (2)</b>			<b>(\$)</b> 590																																																																																																																																																																																																										
Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																																										
105	130	205 65 Surcharge - late filing fee or oath																																																																																																																																																																																																											
127	50	227 25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																											
139	130	139 130 Non-English specification																																																																																																																																																																																																											
147	2520	147 2520 For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																											
112	920*	112 920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																											
113	1840*	113 1840* Requesting publication of SIR after Examiner action																																																																																																																																																																																																											
115	110	215 55 Extension for reply within first month																																																																																																																																																																																																											
116	390	216 195 Extension for reply within second month																																																																																																																																																																																																											
117	890	217 445 Extension for reply within third month																																																																																																																																																																																																											
118	1390	218 695 Extension for reply within fourth month																																																																																																																																																																																																											
128	1890	228 945 Extension for reply within fifth month																																																																																																																																																																																																											
119	310	219 155 Notice of Appeal																																																																																																																																																																																																											
120	310	220 155 Filing a brief in support of an appeal																																																																																																																																																																																																											
121	270	221 135 Request for oral hearing																																																																																																																																																																																																											
138	1510	138 1510 Petition to institute a public use proceeding																																																																																																																																																																																																											
140	110	240 55 Petition to revive - unavoidable																																																																																																																																																																																																											
141	1240	241 620 Petition to revive - unintentional																																																																																																																																																																																																											
142	1240	242 620 Utility issue fee (or reissue)																																																																																																																																																																																																											
143	440	243 220 Design issue fee																																																																																																																																																																																																											
144	600	244 300 Plant issue fee																																																																																																																																																																																																											
122	130	122 130 Petitions to the Commissioner																																																																																																																																																																																																											
123	50	123 50 Petitions related to provisional applications																																																																																																																																																																																																											
126	180	126 180 Submission of Information Disclosure Stmt																																																																																																																																																																																																											
581	40	581 40 Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																										
146	710	246 355 Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																											
149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																											
179	710	279 355 Request for Continued Examination (RCE)																																																																																																																																																																																																											
169	900	169 900 Request for expedited examination of a design application																																																																																																																																																																																																											
Other fee (specify) _____																																																																																																																																																																																																													
<b>SUBTOTAL (3)</b>			<b>(\$)</b> 40																																																																																																																																																																																																										

<b>SUBMITTED BY</b>				<i>Complete (if applicable)</i>	
Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199	Telephone	650/474-1973
Signature				Date	January 11, 2001

**WARNING:**  
 Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Ian Andrew Bell
	Title	Portable Message Waiting Indicator	
	Attorney Docket Number		EVC00-003

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 11, 2001

Date

*Daniel E. Vaughan*  
Signature

Daniel E. Vaughan

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**